Determination of the Off-Site Emergency Planning and Prior Information Areas for HM Naval Base Clyde (Faslane)

Radiation (Emergency Preparedness and Public Information) Regulations 2001

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EXECUTIVE SUMMARY

Determination of the Off-site Emergency Planning and Prior Information Areas for HM Naval Base Clyde (Faslane): Radiation (Emergency Preparedness and Public Information) Regulations 2001

The Office for Nuclear Regulation (ONR) is responsible for regulating the GB nuclear industry in order to protect the health and safety of employees and the public against risks of harm arising from ionising radiations. ONR is the regulatory authority for the Radiation (Emergency Preparedness and Public Information) Regulations (REPPIR) 2001 on nuclear licensed sites, Ministry of Defence (MOD) Authorised nuclear sites and nuclear warship sites.

ONR’s responsibilities include legal duties, where it is concluded that there is a potential for a reasonably foreseeable radiation emergency (as defined in REPPIR), to determine an off-site emergency planning area (i.e. the area within which, in ONR’s opinion, any member of the public is likely to be affected by such an emergency). In these cases, there is also a legal duty, under the same Regulations, for ONR to determine an area within which prior information is to be distributed to the public. A radiation emergency is defined in REPPIR as an event where a person off-site is likely to receive a radiation dose in excess of the thresholds in REPPIR (typically an effective dose in excess of 5 milliSieverts (mSv)) in the 12 months following the radiation emergency. It therefore constitutes an important component of the UK’s overall emergency response framework.

This ONR Project Assessment Report describes and explains the basis for its re-determination, in accordance with REPPIR, of the off-site emergency planning area and the area within which prior information is to be distributed around HM Naval Base Clyde (Faslane) Authorised site.

In relation to the off-site emergency planning area, the responsible local authority, in this case Argyll and Bute Council, is required to prepare an off-site emergency plan with the purpose of minimising, so far as is reasonably practicable, radiation exposures to those likely to be affected by a reasonably foreseeable radiation emergency. This plan will reflect the potential need to implement appropriate protection measures such as sheltering and evacuation in order to reduce radiation doses to members of the public within all or parts of this area.

REPPIR requires operators who carry out work involving quantities of radioactive materials at or beyond those specified by REPPIR, in this case the MOD, to undertake a Hazard Identification and Risk Evaluation (HIRE) in relation to their work with ionising radiations. The HIRE must identify all hazards with the potential to cause a radiation accident, and evaluate the nature and magnitude of the risks to employees and other persons (e.g. those who live or work nearby) arising from those hazards. REPPIR also requires that operators must assess their HIRE and send a Report of Assessment (RoA) to ONR either prior to commencement of the work with ionising radiation or, following any relevant material change in this work. The operator is required to undertake a review within three years of the last assessment if there has been no relevant material change. If there has been no change of circumstance, the operator may submit a declaration of no change. MOD RoAs relate to the radiological hazard presented by a berthed nuclear powered submarine at the relevant berth.

The off-site local authority emergency planning and prior information areas around the Faslane Berths, as provided for in REPPIR regulations 9(1) and 16(1), were first determined in 2002, shortly after REPPIR came into force in 2001. In 2002 the area was determined by ONR as being a circular area of radius 2 km from the submarine. In 2008 ONR re-determined the area as a circular area with a radius of 1.5 km.

Although, the risk from the naval reactor plant has not increased, in order to provide further improvements in protection of the public, a change to what populations are included within the emergency planning area is required by REPPIR as a result of the application of ONR’s revised principles for the determination of such areas.
ONR’s re-determination of the REPPIR off-site emergency planning area and the REPPIR prior information area around the HMNB Clyde (Faslane) berths has been undertaken in accordance with ONR’s regulatory processes, guidance associated with REPPIR itself, and the relevant ONR Technical Assessment Guide (TAG). In particular, the TAG includes ONR’s determination principles published in 2013 and associated guidance for the determination of such areas. These principles recognise the learning that has emerged from global events such as occurred at Fukushima and the need to review the scope of off-site emergency planning. They also reflect ONR’s commitment to high standards of nuclear safety at nuclear installations, and its continual efforts to seek improvements to measures to secure public safety and to the consistency and transparency of its decision-making.

ONR’s determination process requires that:

- ONR undertakes a technical assessment of MOD’s HIRE and RoA.
- In accordance with the relevant ONR TAG, ONR also gives appropriate consideration to practical and strategic factors relating to the planning and potential implementation of a credible off-site emergency plan, and other pragmatic factors appropriate to secure confidence as regards protection of the public. This aspect of the process includes dialogue with the relevant local authorities, in this case, Argyll and Bute Council, as the dutyholder within REPPIR as regards the off-site plan, and considers, amongst other factors, local population (including vulnerable groups), geographical considerations, and existing good practice where the local authority emergency plan already extends beyond the minimum required distance. This informs ONR’s determination so as to define more practical emergency planning and prior public information areas than would be the case from purely technical considerations.

The outcome of ONR’s technical assessments concludes that an area with a radius of at least 1.5 km from the berth points should continue to be used as the foundation for defining the extent of the need for local authority off-site emergency planning under regulation 9 of REPPIR in relation to the HMNB Clyde (Faslane) berths.

ONR’s regulatory principles emphasise the importance of ensuring that an appropriate balance is achieved between the assessment of technical submissions provided by the operator and other practical and strategic considerations judged to be appropriate in the interests of public safety. As a consequence, the ultimate determination of the REPPIR off-site emergency planning area represents ONR’s best regulatory judgement, and is not formed solely on the basis of technical considerations or criteria.

The outcome of ONR’s review, taking into account the relevant practical and strategic considerations relating specifically to the HMNB Clyde (Faslane) berths, is that:

- both the REPPIR off-site emergency planning area and the REPPIR prior information area for the HM Naval Base Clyde (Faslane) berths remains an area of radius of at least 1.5 km from the berth point shown and is described as the combined 1.5 km radius from each of the nine Faslane berths over open land and in the marine environment. In the north it follows the Gare Loch boundary footpath. For residential areas, it follows defined physical features, such as roads and pathways and includes small areas of Garelochhead, Meikle Rahane and Shandon, as shown within the red line on the map contained in annex B to this report.

The recommendations of this report are that ONR write to:

- Argyll and Bute Council as the lead local authority to advise that the REPPIR off-site emergency planning area has been determined as the area within the
red line on map at annex B. This information should be copied to Naval Base Commander (Clyde) acting as the MOD Authorised Site duty holder.

- Argyll and Bute Council as the lead local authority confirming the need to update, as required, its detailed emergency plan to adequately cover the areas defined in annex B.

- Naval Base Commander (Clyde) acting as the MOD Authorised Site duty holder confirming the requirement to ensure the appropriate provision of prior information to the public within the area defined in annex B. This information should also be copied to Argyll and Bute Council as the lead local authority.

# LIST OF ABBREVIATIONS

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<thead>
<tr>
<th>Abbreviation</th>
<th>Full Form</th>
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<tbody>
<tr>
<td>ACPO</td>
<td>Association of Chief Police Officers</td>
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<tr>
<td>BSS</td>
<td>Berth Safety Statement</td>
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<tr>
<td>DEPZ</td>
<td>Detailed Emergency Planning Zone (Ref: REPPIR regulation 9(1))</td>
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<tr>
<td>DNSR</td>
<td>Defence Nuclear Safety Regulator</td>
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<td>EHJ</td>
<td>Explosives Handling Jetty</td>
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<tr>
<td>EURATOM</td>
<td>European Atomic Energy Community</td>
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<tr>
<td>FEPA</td>
<td>Food and Environment Protection Act 1985</td>
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<td>FSA</td>
<td>Food Standards Agency</td>
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<td>GB</td>
<td>Great Britain</td>
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<td>HIRE</td>
<td>Hazard Identification and Risk Evaluation</td>
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<td>HSL</td>
<td>Health and Safety Laboratories</td>
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<tr>
<td>IAEA</td>
<td>The International Atomic Energy Agency</td>
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<td>MCA</td>
<td>Maritime and Coastguard Agency</td>
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<tr>
<td>MHWM</td>
<td>Mean High Water Mark</td>
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<td>MLWM</td>
<td>Mean Low Water Mark</td>
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<tr>
<td>MOD</td>
<td>Ministry of Defence</td>
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<tr>
<td>mSv</td>
<td>milliSievert</td>
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<tr>
<td>NIA</td>
<td>Nuclear Installations Act 1965</td>
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<td>NII</td>
<td>Nuclear Installations Inspectorate</td>
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<td>NRP</td>
<td>Naval Reactor Plant</td>
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<td>ONR</td>
<td>Office for Nuclear Regulation</td>
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<td>PAR</td>
<td>Project Assessment Report</td>
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<tr>
<td>RA</td>
<td>Reference Accident</td>
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<tr>
<td>REPPIR</td>
<td>Radiation (Emergency Preparedness and Public Information) Regulations 2001</td>
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<tr>
<td>RoA</td>
<td>Report of Assessment</td>
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<td>RPC</td>
<td>Representative Patrol Cycle</td>
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<td>SAPs</td>
<td>(ONR) Safety Assessment Principles</td>
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<td>TAG</td>
<td>(ONR) Technical Assessment Guide</td>
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1 REGULATORY CONTEXT

The UK Energy Act (reference 1) requires the Office for Nuclear Regulation (ONR) to do whatever it considers appropriate for the purposes of protecting persons against risks of harm arising from ionising radiations from GB nuclear sites, including through:

- securing the health, safety and welfare of persons at work on GB nuclear sites; and
- protecting persons, other than persons at work on GB nuclear sites, against risks to health or safety arising out of or in connection with the activities of persons at work on GB nuclear sites.

ONR does this by providing efficient and effective regulation of the nuclear industry, holding it to account on behalf of the public and in particular, ensuring that appropriate arrangements are put in place to deal with a nuclear emergency.

Ministry of Defence (MOD) nuclear sites and facilities are Crown exempt from licensing requirements under the Nuclear Installations Act 1965 (NIA) (reference 2). These sites are referred to as Authorised sites and Nuclear Warship sites (referred to by the MOD as Operational Berths and Anchorages).

The NIA additionally explicitly exempts a reactor ‘comprised in a means of transport’ from its requirements (i.e. submarines are not licenced by ONR under the NIA).

The MOD internal regulator, the Defence Nuclear Safety Regulator (DNSR), authorises and regulates the Authorised sites through the use of authorisation conditions, which are closely aligned to ONR Licence Conditions.

However, the MOD is not exempt from the Radiation (Emergency Preparedness and Public Information) Regulations 2001 (REPPIR) (reference 3), and ONR are the enforcing authority for REPPIR on their authorised sites and Nuclear Warship sites (reference 4).

The MOD / ONR General Agreement and Letter of Understanding (references 5 and 6) describe the overall regulatory working relationship between the MOD and ONR. DNSR works closely with ONR in a process of joint regulation of relevant areas to minimise the impact on operators and ensure, so far as is practicable, that they are not subject to differing requirements or processes. ONR looks to DNSR as the “Competent Authority” in respect of Naval Reactor Plant (NRP) design and DNSR provides ONR with any clarification it requires on hazards arising from it.

In relation to REPPIR, DNSR acts as the Competent Authority in providing assurance to ONR that the detailed Naval Nuclear Propulsion Programme design information contained within the MOD REPPIR Hazard Identification and Risk Evaluation (HIRE) is valid and has been used appropriately.

ONR’s responsibilities include legal duties, where it is concluded that there is a potential for a reasonably foreseeable radiation emergency (as defined in REPPIR) to determine an off-site emergency planning area. This is the area within which, in ONR’s opinion, any member of the public is likely to be affected by such an emergency1. In these cases, there is also a legal duty under the same regulations, for ONR to determine an area within which prior information is to be distributed to the public2. A radiation emergency is defined in REPPIR as an event where a person off-site is likely to receive a radiation dose in excess of the thresholds in REPPIR (typically an effective dose in excess of 5 milliSieverts (mSv)) in the 12 months following the

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1 ONR has historically used the term detailed emergency planning zone (DEPZ) to refer to the area it defined under REPPIR regulation 9 as requiring an off-site emergency plan. (The term is still used this way in some ONR guidance.) As the term is not used within REPPIR itself (although referred to in the related guidance), and to ensure legal clarity and avoid misunderstanding amongst stakeholders, this report refers to the ‘REPPIR off-site emergency planning area’ under regulation 9 rather than to ‘detailed emergency planning zone’ or ‘DEPZ’.

2 This is sometimes, and has historically been, referred to as the Public Information Zone (PIZ) under regulation 16, but for the same reason as given above is not used in this report. This report refers to the ‘REPPIR prior information area’. 
radiation emergency. It therefore constitutes an important component of the UK’s overall emergency response framework.

This report sets out the outcome and justification for the determination of the revised off-site emergency planning and prior information areas for HM Naval Base Clyde (Faslane) in accordance with the requirements of REPPIR regulations 9(1) and 16(1) respectively (reference 3).

ONR is of the opinion that the extent of areas for local authority off-site planning and for the provision of prior information by the operator should usually be the same. This is a reflection of the fact that the factors considered by ONR for determination of these areas are the same. As a consequence, and for simplicity, where the term ‘REPPIR off-site emergency planning area’ is used in this report, it should be assumed to refer equally to the off-site emergency planning and prior information areas.

2 BACKGROUND

The UK regulatory system requires that every duty holder demonstrates to the regulator that it fully understands and manages the hazards and risks associated with its operations. The regulators assess the safety and security of the design and operation of nuclear plant to ensure that licensees’ provisions are adequate, and that any risks are reduced so far as is reasonably practicable.

In the case of the Faslane berths, the hazards and risks are associated with the NRP within the submarine. All references in this report to submarine are interchangeable with the definition of Nuclear Powered Warship.

In relation to emergency planning, REPPIR regulation 6 requires operators, and in the case of nuclear warship sites the duty holder, to undertake a HIRE of hazards arising from their work with the potential to cause a radiation accident from the submarine. The duty holder for the nuclear warship sites is the MOD. The HIRE must be sufficient to demonstrate that all such hazards have been identified and the nature and magnitude of the risks to employees and other persons arising from those hazards have been evaluated. REPPIR also requires that operators submit a Report of the Assessment (RoA) to ONR either prior to commencement of the work with ionising radiation or, following any relevant material change in this work. The operator is required to undertake a review within three years of the last assessment if there has been no relevant material change. REPPIR regulation 5(2) provides for the operator to make a declaration of no change if there is no change of circumstances which would affect the last report of the assessment required by regulation 6.

Where it is reasonably foreseeable that a radiation emergency (as defined in REPPIR) could arise, REPPIR requires ONR to determine areas within which, in its opinion, persons (including any member of the public) are likely to be affected by such emergencies. This then defines the area for which local authorities are required to prepare an adequate off-site emergency plan (regulation 9(1)) and for which operators are required to provide specified prior information (regulation 16(1)) to members of the public without them having to request it and also make that information publicly available.

The off-site emergency plan, in cases where one is required, may include urgent countermeasures in order to reduce radiation doses to members of the public, such as sheltering, evacuation, administering stable iodine tablets (in the case of operating nuclear reactors, including NRP), and other protection measures that are relevant, reasonably practicable, and proportionate to the radiological risk.

Following the determination by ONR, the relevant local authority, in this case Argyll and Bute Council, is required to prepare an adequate off-site emergency plan. In so doing, the local authorities have a legal obligation to consult a range of persons, including; the MOD duty
holder, ONR, the emergency services, the relevant health authority, and such other persons, bodies, authorities and members of the public as they consider appropriate. This plan must then be reviewed, revised where necessary, and tested at least every three years.

2.1 TIMELINE OF MOD HIRE / ROA SUBMISSIONS

In February 2008 the MOD undertook HIREs and submitted associated RoAs (reference 7) covering operations at the following sites:

- HMNB Clyde (comprising Coulport and Faslane)
- HMNB Devonport (including Plymouth Sound buoys)
- Devonport Royal Dockyard
- UK Operational Berths (Portsmouth, Southampton, Portland, B4 Anchorages, Loch Goil, Loch Ewe and Broadford Bay (no longer used, and not considered further))

ONR (then the Nuclear Installations Inspectorate (NII)) and DNSR put in place a regulatory strategy to assess the REPPIR submissions, with DNSR providing the detailed technical assessment. DNSR and NII completed the regulatory assessment requirements of REPPIR with a joint regulatory position statement being issued in April 2009.

NII determined that it was appropriate to consider the cliff edge fault event as the bounding fault, and that the area within which members of the public are likely to be affected by a reasonably foreseeable radiation emergency was a distance of 1.5 km from the berth in any direction (reference 8).

In 2011 the MOD REPPIR submissions (through DNSR) (reference 9) were supported by significantly updated Berth Safety Statements (BSS) (reference 10) that considered site specific hazards. The 2011 submission constituted No Change Declarations for the Operational Berths, supported by revised BSS for:

- HMNB Clyde (including Faslane and Coulport Sites)
- HMNB Devonport (including Plymouth Sound buoys)
- UK Operational Berths (Portsmouth, Southampton, Portland, B4 Anchorage, Loch Goil, Loch Ewe and Ramsden Dock Basin)

The MOD REPPIR submission (through DNSR) in January 2014 (reference 11) was again a Declaration of No Change of circumstances. The totality of the MOD submissions comprised of individual submissions from each Naval Base and Navy Command Headquarters, which covered the following:

- HMNB Clyde – Faslane and Coulport sites
- HMNB Devonport (including Plymouth Sound berths)
- UK Operational Berths (Portsmouth, Southampton, Portland, Ramsden Dock Basin, Loch Goil, and Loch Ewe)

DNSR, as the competent regulatory authority on the NRP, confirmed its support of the no-change submissions in 2011 and 2014 (references 9 & 11).

In January 2017, MOD (Naval Base Commander Clyde and Navy Command) submitted a further Declaration of No Change of circumstances (references 12 & 13). The submissions covered the following:

- HMNB Clyde – Faslane and Coulport sites
- UK Operational Berths (HMNB Portsmouth and Solent Anchorages, Loch Ewe, Loch Goil, Portland Port, Ramsden Dock Basin and Southampton Eastern Docks)
3 SCOPE

The assessment described in this report sets out the basis for, and conclusions of, the re-determination of the REPPIR off-site emergency planning and prior information area relating to the Faslane berths. This has been undertaken in accordance with the guidance on REPPIR (reference 14) and the relevant ONR supporting Technical Assessment Guide (TAG) (reference 15), which incorporates ONR’s principles for determination of REPPIR areas, and related guidance, as published in 201.

ONR’s principles recognise the learning that has emerged from global events such as occurred at Fukushima, and the subsequent need to review the scope of off-site emergency planning. They also reflect ONR’s commitment to high standards of nuclear safety at nuclear installations, and its continual efforts to seek improvements to standards and to the consistency and transparency of its decision-making.

Provisions for the implementation of food restrictions are not relevant to the process of determining the REPPIR off-site emergency planning area on the basis that they are provided separately (Food and Environment Protection Act 1985 (FEPA) (reference 16)) and are under the legal jurisdiction of the Food Standards Agency (FSA). These provisions are therefore addressed by separate legislation other than REPPIR, may be exercised in a broader range of circumstances (i.e. not restricted to a radiological event), and are subject to existing planned implementation arrangements made by the FSA. They are therefore out with the scope of this report.

4 METHODOLOGY

4.1 ONR’S PROCESS FOR DETERMINING A REPPIR OFF-SITE EMERGENCY PLANNING AREA

This process requires that ONR:

A. Conduct an initial independent technical assessment of the information provided by the licensee in their HIRE and RoA seeking and using additional information as appropriate.

B. Where the potential for a REPPIR defined reasonably foreseeable radiation emergency exists, establish and consider any other relevant practical and strategic factors relating to the planning and practical implementation of measures to restrict public exposure so far as reasonably practicable (e.g. urgent countermeasures) for those persons who are likely to be affected by a radiation emergency.

Step A requires ONR to assess the operator’s identification and characterisation of the likelihood, nature and magnitude of the radiation related risks that may result for a radiation accident. ONR also assess the operator’s assessment of whether there is the potential for a radiation emergency to occur that is reasonably foreseeable. If this potential exists ONR will then consider the likely extent of any area within which the dose criteria contained within Schedule 1 of REPPIR may be met or exceeded. This indicates the minimum distance for further consideration in Step B, and is usually presented in the operator’s HIRE reports as a circle with a specified radius centred at the source of the potential accident.

Step B applies additional pragmatic, population (including vulnerable groups), geographic and practical factors to the ONR determination and requires dialogue with the relevant local authority. The nature of these factors is set out in detail in the relevant ONR TAG (reference 15). Whilst the determined REPPIR off-site emergency planning area, as a result of considering these additional factors, need not be circular, it cannot be smaller than that arising from the technical assessment under Step A.
ONR’s principles relating to practical and strategic considerations (reference 15) emphasise that, in the undertaking of the determination, it is important to ensure that a balance is achieved between the assessment of the technical report provided by the licensee, and such additional practical and strategic considerations that, in ONR’s opinion, are judged necessary in the interests of confidence in public safety. As a consequence, the extent of the REPPIR off-site emergency planning area represents a regulatory judgement of the significance of all of these factors, and is made on a case-by-case basis.

The factors that ONR’s principles and associated guidance indicate should be considered are summarised as follows:

- local geographic, population and practical implementation factors;
- avoidance of bisection of local communities where sensible to do so;
- inclusion of immediately adjacent groups of vulnerable people;
- the need for the REPPIR off-site emergency planning area to provide for a credible emergency plan, for the purposes of public protection, in which the public will be confident;
- consideration of the implications of the extent of the REPPIR off-site emergency planning area in the context of an effective emergency response (e.g. adequacy of resources (i.e. police, fire and ambulance) and potential dis-benefits associated with immediate/urgent countermeasures);
- relevant international good practices; and
- other relevant site specific factors of which ONR are aware.

The starting point for determining the off-site emergency planning area is the most significant reasonably foreseeable event; referred to in ONR’s TAG, reference 15, as the ‘reference accident’, and described in guidance as an event which is less than likely but realistically possible. Such an accident could be caused, for example, by possible plant and equipment failures, breakdown of administrative arrangements, external hazards such as earthquakes and potential unauthorised behaviour of employees or the public.

For events that are judged not to be reasonably foreseeable (e.g. extremely low frequency but potentially higher consequence events), the guidance associated with REPPIR recommends, as a good practice, that local authorities should be capable of extending their emergency response beyond the REPPIR off-site emergency planning area should it be necessary to do so. However, extendibility arrangements are not considered further in this determination.

Although the local authority off-site emergency plans include many protection measures to reduce radiation doses to members of the public, the most commonly referenced are the urgent countermeasures available in the early stages of a nuclear emergency of sheltering, evacuation and, in the case of NRP, the administration of stable iodine.

In determining a REPPIR off-site emergency planning area, ONR acknowledges that the implementation of some protection measures can convey a risk of harm to individuals to whom they are applied. For example, following the Fukushima accident in Japan in March 2011, Koichi Tanigawa et al. report in the Lancet journal on the loss of life that occurred as a result of the implementation of evacuation (reference 17). Within a REPPIR off-site emergency planning area, the local authority may expect some protection measures to be applied immediately or urgently across at least a part of the area and it is important that the area within which they may be applied, in the event of an emergency, is targeted and proportionate in order to ensure that overall risks to those affected are reduced so far as is reasonably practicable.

### 4.2 BASIS OF ASSESSMENT

The REPPIR off-site emergency planning area must, as a minimum, include all of the area around the site, in this case the Faslane berths, within which a person (including members of
the public) could receive an effective dose in excess of 5 mSv in the year following a reasonably foreseeable radiation emergency; or other dose criteria defined in REPPIR Schedule 1. When assessing the extent of exposure REPPIR requires that operators, assess the potential doses to members of the public from all exposure routes and, for this purpose, must disregard any health protection countermeasures that may have been taken by the local authority, emergency services or the exposed persons themselves, during the first 24 hours immediately following the event.

ONR undertook a review of the MOD’s original 2008 REPPIR submission along with the subsequent ‘No Change’ submissions (reference 18) to ensure that they are considered appropriate in response to REPPIR requirements and to provide an up to date assessment for the REPPIR off-site emergency planning and prior information areas determination to be undertaken. This forms the basis of ‘Step A’ (see section 4.1) of the assessment and determination described in this report.

4.3 STANDARDS AND CRITERIA

4.3.1 ACTS, REGULATIONS AND GUIDANCE

The relevant standards and criteria considered within this assessment are those contained within REPPIR (reference 3) and its associated guidance (reference 14). REPPIR are Regulations created under the Health and Safety at Work Act 1974 and implements the articles on intervention in cases of radiation emergencies contained in the European Council Directive 96/29/EURATOM (European Atomic Energy Committee) - Basic Safety Standards for the Protection of the Health of Workers and Members of the Public against the Dangers from Ionising Radiation (reference 19).

4.3.2 SAFETY ASSESSMENT PRINCIPLES

ONR’s Safety Assessment Principles (SAPs) provide inspectors with a guiding framework for making consistent regulatory judgements on nuclear safety cases. Although the SAPs are not directly relevant to the assessment of REPPIR submissions, the guidance within SAP: AM.1 - Accident management and emergency preparedness (reference 20) has been taken into account.

4.3.3 TECHNICAL ASSESSMENT GUIDES

The SAPs are supported by a suite of internal TAGs, with the following TAG being applied in this assessment:

- The technical assessment of REPPIR submissions and the determination of detailed emergency planning zones, ONR NS-TAST-GD-082 Revision 3 2016 (reference 15). This TAG incorporates ONR’s principles for determination of REPPIR off-site emergency planning areas.

4.3.4 NATIONAL AND INTERNATIONAL STANDARDS AND GUIDANCE

The following national guidance has also been considered and, where appropriate, has informed the conduct of this assessment:


ONR also notes the relevance of the following International Standards and Guidance:


5 ASSESSMENT OF TECHNICAL SUBMISSIONS

The HIREs and RoAs, in their current issue, for the NRP were submitted to ONR (then NII) in 2008. MOD has made declarations of no change in relation to the RoA’s in 2011, 2014 and 2017 in line with REPPIR regulation 5(2).

A summary of the MOD 2014 submissions and ONR’s technical assessment of them (references 10, 11 & 18) are detailed in sections 5.1 and 5.2 respectively.

5.1 MOD REPORT OF ASSESSMENT

MOD’s 2008 RoA is based on a generic HIRE carried out to evaluate the risks and hazards from the Naval Reactor Plant (NRP) fitted in each of the UK’s three main types of nuclear powered submarines, all of which have the potential to be berthed at the Faslane berths. This generic HIRE contains classified information and as such any reference to the material it contains has been kept sufficiently high level in order to negate the need for similar classification of this document.

The Reference Accident (RA) in the generic RoA is defined as a leak in the primary cooling circuit of the NRP, which cannot be isolated and is beyond the capacity of coolant make-up systems. This primary coolant leak, coupled with engineering and other failures, leads to damage to the fuel within the reactor, which, in turn, releases radioactive material from the reactor. This release is largely contained within the submarine, although a fraction may be released to the environment.

In accordance with REPPIR regulation 5, MOD undertook a review of their HIRE in 2014 for the all UK Operational Berths (Portsmouth, Southampton, Portland, Ramsden Dock Basin, Loch Goil, and Loch Ewe), and the HMNB Clyde (Faslane and Coulport) Authorised sites.

The review of the extant HIREs for the NRP at the berths identified that there have been no material changes to the work with ionising radiation carried out by MOD since the last submission. The review did however highlight a minor change to the Representative Patrol Cycle (RPC) that supports the Reference Accident (RA). The submission included a consideration of the amendment to the RPC, and confirmed that it does not affect the extant Reports of Assessment.

Additionally, the submission (reference 11) stated that any reasonably foreseeable malicious act would not result in consequences greater than those of the Reference Accident at any UK Operational Berth or berth point such as the Faslane berths.

The 2014 MOD submission, supported by DNSR, constituted a Declaration of No Change for all the UK Operational Berths and the HMNB Clyde (Faslane and Coulport) Authorised Sites, and stated that the 2008 RoA remained valid. MOD stated that all facets comprising the MOD REPPIR 2014 submission had been independently reviewed by an external contractor to determine if the evidence supports a “no-change” submission. The contractor advised that a “no-change” position remains valid.

5.2 ONR TECHNICAL ASSESSMENT

ONR (then NII) conducted a technical assessment of the generic submarine HIRE when it was submitted in 2008, and in particular the adequacy of the chosen reference accident presented, and the sensitivity of the consequence analysis. Following this review it concluded that the minimum distance for emergency planning should be 1.5 km from each submarine berth. This assessment did not involve an in-depth review of the site specific hazards at the operational berth site, but made the judgement that any site specific fault scenario would be bounded by fault relating to the NRP.
Given the time that had elapsed since the 2008 ONR assessment, it was deemed appropriate to review any other factors that may affect the conclusions of the 2008 assessment; such as changes in modelling techniques and assumptions in the intervening years. ONR has also sought to confirm that the NRP remains the bounding fault in relation to specific site activities at each Operational Berth and the HMNB Clyde (Faslane and Coulport) Authorised Sites.

As part of the assessment of the 2014 submission, DNSR as the competent authority on the NRP, confirmed that there has been no change in the basis for assessment and provided additional confirmation that:

- The current assessment bounds any variation in the positioning of the submarine (e.g. as a result of tidal movements) and hence the positioning of the reactor plant in relation to the stated berthing location.
- The sensitivity of the variations (modelling software differences, inventory and dose exposure) outlined in the Representative Patrol Cycle assessment do not affect the 5 mSv dose contour as determined by the original 2008 assessment.
- Modelling disregarded health protection measures in the first year with 2 exceptions (ingestion of foodstuffs over the first 24 hours and food restrictions under a FEPA order from 24 hours onwards). DNSR advice was that these exceptions would have no impact upon the conclusions of the assessment, particularly given inherent pessimisms in the modelling.
- The assessment included all dose accrued in the year following the event.
- Additional site hazards that could affect the overall REPPIR emergency planning area have been considered and are bounded by the current 5 mSv contour from the submarine.

The review noted that the detailed assessment includes a number of pessimistic assumptions. For example, dose assessment modelling was carried out using two separate modelling codes (PC COSYMA by the duty holder and CONDOR by DNSR/Nuclear Department), and the more pessimistic assessment (CONDOR) was taken forward. Assessment was based on the worst case Submarine Class; other classes are bounded by this worst case consequence. Additionally the modelling was based on pessimistic inventory and decay heat (end of life, high power) assumptions.

The ONR Assessment (reference 18) concludes that the most significant reasonably foreseeable radiation emergency from the NRP has not changed from the 2008 assessment and that a distance of 1.5 km from each berth in all directions should continue to be the basis for off-site emergency planning.

ONR concurs with DNSR's conclusions and based on its own assessment accepts that the 2014 Declarations of No Change for Operational Berths, and the HMNB Clyde (Faslane and Coulport) Authorised Sites are an appropriate submission in response to REPPIR requirements.

ONR’s technical assessor has reviewed the 2017 Declarations of No Change and advised (reference 23) that the extant assessment report (reference 18) remains valid for this determination.

5.3 CONCLUSIONS OF TECHNICAL ASSESSMENTS

ONR’s technical assessor concludes (reference 18) that MOD’s submissions adequately meet the requirements of REPPIR with respect to:

- the representation of a reasonably foreseeable radiation emergency; and
- the assessment of the distance from the relevant locations to the 5 mSv contour.
The ONR technical assessment recommends that the determination of the REPPiR off-site emergency planning area should continue to be based on the 1.5 km contour from the berth. ONR’s technical assessor agreed this distance was supported by appropriate technical analysis. However, this distance is informed solely by the technical assessment and does not consider the application of strategic and practical factors which are considered in section 6 below.

**Conclusion 1:** ONR is satisfied that the technical submissions made by MOD demonstrate that members of the public would not be likely (the legal test provided by REPPiR) to be exposed to effective doses in excess of 5 mSv (or other dose criteria defined in REPPiR Schedule 1), in the year following a reasonably foreseeable radiation emergency, beyond a radial distance of 1.5 km from the Faslane berths.

### 6 ASSESSMENT OF PRACTICAL AND STRATEGIC CONSIDERATIONS FOR THE DETERMINATION OF THE REPPiR OFF-SITE EMERGENCY PLANNING AND PRIOR INFORMATION AREAS

The purpose of the REPPiR off-site emergency planning area is to define the area for which the local authority must prepare an off-site emergency plan which is adequate to restrict exposures to the public, so far as is reasonably practicable, in the event of a reasonably foreseeable radiation emergency.

In accordance with ONR’s TAG (reference 15) and the principles incorporated within it, ONR must also give consideration to the practicality (in an emergency planning sense) of the REPPiR off-site emergency planning area, by taking into account a number of pragmatic factors judged to be relevant in securing its confidence regarding the effectiveness and credibility of the plans to deliver protection of the public.

In the course of considering these factors, ONR has consulted with Argyll and Bute Council as the lead organisation responsible under REPPiR for preparation of the off-site emergency plans for the HM Naval Base Clyde (Faslane and Coulport) sites, and with the MOD in relation to the prior information area (reference 24).

The initial stage in this determination process was the application of the 1.5 km radial distance to each of the Faslane berths to provide the initial area against, and beyond which, the practical and strategic factors should be considered. The locations (grid reference) of the Faslane berths were obtained directly from the MOD.

The HMNB Clyde (Faslane) site is located on the eastern shore of Gare Loch, to the north of the Firth of Clyde.

There are nine specific berth points providing eleven berthing positions constituting the Faslane berths. The individual berths are spread over a distance of approximately 0.8 km running north to south.

Due to the proximity of the berths to each other, the 1.5 km radial distance from each berth overlaps. For the ease of planning the individual radial areas will be combined to form the basis of a single emergency planning area.

The area encompassed by the combined radial areas includes:

- the entire HMNB Clyde (Faslane) site,
- the Garelochhead training camp,
- small numbers of properties on the north western, north eastern, south western and south eastern shores of Gare Loch.
Within the area are two vulnerable groups including a nursery just outside the southern boundary fence of HMNB Clyde (Faslane) site and a residential home located on the north western shore of Gare Loch.

The area around the HMNB Clyde (Faslane) site is surrounded by the following:

- Garelochhead, population of circa 1300, the centre of which is approximately 2.0 km from the most northerly berth. Garelochhead train station has an annual passenger throughput of approximately 7000 per year.
- The settlement of Helensburgh, which includes Rhu has a combined population of approximately 15500. Rhu is located approximately 5 km from the most southerly berth point with Helensburgh further south at approximately 6.5 km.
- There are a number of properties that run along the western shore of Gare Loch opposite the HMNB Clyde (Faslane) site.
- In Garelochhead there is a nursery, a primary school and a community centre.

To inform the application of the practical and strategic factors, which is discussed in sections 6.1 to 6.7 below, relevant information and significant features with respect to the area around the Faslane berths has been identified. These are described in Annex A.

**Conclusion 2:** There are nine specific berths at HMNB Clyde (Faslane) Authorised Site, and these will be considered to form the basis of a single emergency planning area with a combined 1.5 km radius from each of the berths.

### 6.1 LOCAL GEOGRAPHIC, POPULATION AND PRACTICAL IMPLEMENTATION FACTORS

The ONR TAG (reference 15) states that:

“The relevant local authority is consulted on the basis that it has significant ‘local’ knowledge and has the responsibility for development and, in the highly unlikely event that it is ever necessary, implementation of the off-site emergency plan. (Note: The local authority also has the legal duty to undertake consultation in relation to the off-site emergency plan as provided for under REPPiR regulation 9(12).)”

Argyll and Bute Council have been consulted (reference 24 and 25) with regard to what features should be used to define the boundary of the area determined. The default is to use infrastructure or geographical features such as, roads, railways, rivers and streams unless it is locally convenient to use other boundaries, such as postcode or electoral ward boundaries. In the case of the Faslane berths, due to the nature of the geography of the surrounding countryside it may not be possible to use geographical features to define the boundary without creating a large and disproportionate area. For areas where there is no definable geographical feature to use as the boundary, a radial distance from the berth points will be used.

**Conclusion 3:** The REPPiR off-site emergency planning area boundary should be defined on land, so far as is sensible, using physical infrastructure and geographical features, such as security boundaries, roads and rivers. For areas where there is no definable geographical feature to use as the boundary, a radial distance from the berth points will be used.

Where the boundary of the defined area runs along a coast line or significant waterway, such as a loch, consideration needs to be given as to what aspect of the coast is used as the defining feature. It is noted that Association of Chief Police Officers/Maritime & Coastguard Agency (ACPO/MCA) national agreement uses the Mean High Water Mark (MHWM) as the
demarcation for co-ordination of operational response to incidents i.e. if the incident is on the seaward side of the MHWM the co-ordination is undertaken by the MCA (or the Queens Harbours Master in the case of the Faslane berths); conversely if it is landward side co-ordination is with the Police. However for the Faslane Berths, I consider that use of the Mean Low Water Mark (MLWM) is more appropriate for the definition of the boundary planning area where it meets the water line as it either fully includes, or excludes, those persons who may be in between the MHWM and the MLWM and whose primary means of shelter or evacuation is likely to be via land rather than sea – i.e. people at the water’s edge. In the case of the northern boundary of Gare Loch, the loch wall and footpath provides for a clear definable boundary which will be used. This will include any members of the public, such as fishermen who may be in the area between the footpath and the water’s edge.

**Conclusion 4:** At the water’s edge the REPPIR off-site emergency planning area boundary should be defined, so far as is sensible, using the Mean Low Water Mark. In the case of the northern boundary of Gare Loch, the loch wall and footpath provides for a clear definable boundary which will be used, so to include any members of the public who may be between the footpath and water’s edge.

For the marine areas, and for simplicity of radio communication with relevant marine vessels in the area during an emergency, definition of the boundary is best described as a distance from a single point (creating a radial boundary). This is consistent with advice obtained from the MCA for previous operational berth determinations and confirmed with the QHM (reference 26).

**Conclusion 5:** The extent of the marine area should be described as radii from a defined point. In the case of the Faslane berths, the defined point will be the most southerly berth.

### 6.2 CREDIBILITY AND CONFIDENCE IN THE EXTENT OF THE REPPIR OFF-SITE EMERGENCY PLANNING AREA

The ONR TAG (reference 15) states that:

> “Although REPPIR places the duty on the independent regulator to make an objective and unbiased regulatory determination of the extent of the REPPIR off-site emergency planning area (formerly DEPZ), ONR considers that, in the interests of confidence in public safety (noting the assumptions and estimations used to determine the 5 mSv contour), the DEPZ should be of sufficient extent so as to provide for a meaningful off-site emergency plan. It should, therefore, incorporate an appropriate degree of conservatism and pragmatism, and provide for a credible and effective response in the event of a reasonably foreseeable radiation emergency.”

REPPIR states that the safety objective of the planning undertaken by local authorities with the REPPIR offsite emergency planning area is to ‘…secure, so far as is reasonably practicable, the restriction of exposure…’ to ‘…persons who may be affected…’ by a reasonably foreseeable radiation emergency, rather than simply to restrict public exposures in such an event to 5 mSv over the following year.

Therefore, although it has been concluded (section 5.3) that the limit of the extent to which members of the public are likely to be exposed to ‘5 mSv in the year following a reasonably foreseeable radiation emergency’ is a distance of 1.5 km from the berth point, a REPPIR off-site emergency planning area based on a contour equating to that distance must also provide a sufficient off-site planning area for the purposes of satisfying this broader REPPIR dose restriction intention, noting the proximity of any significant conurbations to the relevant berth or anchorage.
In this context, ONR is mindful that, whilst dutyholders are typically conservative in their approach to nuclear safety, complex technical assessments of potential emergency situations must inevitably rely on a range of assumptions, judgements and estimates.

Whilst ONR is satisfied that the REPPIR submissions made by the MOD demonstrate the overall risk from the NRP has been conservatively estimated, ONR is of the opinion that it is appropriate, where public safety is at stake, that it acts with reasonable conservatism in its own right, in the interests of confidence in securing the public safety objective of REPPIR.

Due to the nature of the area surrounding the Faslane berths, the area does not cover, or partially cover any densely populated areas. Within the combined radial area from the Faslane berths the number of residential properties is low, resulting in a night-time population of approximately 300. The nearest centre of any significant population is approximately 2.0 km away at Garelochhead (population circa 1300), and at Rhu and Helensburgh (population circa 15500) approximately 5 km away.

The HM Naval Base Clyde (Faslane) site has the potential for a combined workforce (Royal Navy and contractors) of 6800 and also contains a significant number of permanent staff quarters. The site has detailed plans (reference 27) that in the event of a significant accident on the site non-essential personnel can be evacuated to local dispersion reception centres, which would be managed through the off-site emergency response organisation (reference 28). This aspect of the plan was tested as part of the Level 2 emergency exercise Evening Star 15 (reference 29).

Given the arrangements in the off-site plan for dealing with significant numbers of non-essential site personnel, in the highly unlikely event that the current arrangements needed to be extended to include the population of Garelochhead, this could be accommodated within the existing off-site plan arrangements (reference 30 and 31).

I am of the opinion that, taking account of the factors stated previously, emergency planning for the Faslane berths does not need to be extended beyond 1.5 km from each berth for the provision of credibility and confidence in the off-site plan, and will form the basis for determining the REPPIR off-site emergency planning area following the ONR principles (reference 15).

**Conclusion 6:** A REPPIR off-site emergency planning area based on a minimum combined radial distance of 1.5 km from the Faslane berths without extending to Garelochhead and Rhu and Helensburgh will provide for a credible and effective plan to secure the protection of the public and restriction of exposures so far as is reasonably practicable, in the event of a reasonably foreseeable radiation emergency.

### 6.3 AVOIDANCE OF BISECTION OF LOCAL COMMUNITIES

The ONR TAG (reference 15) states that:

> "Whilst accepting that it may sometimes be unavoidable, ONR's preference is to avoid the bisection of small settlements or communities, on the basis that any REPPIR off-site emergency planning area (formerly DEPZ) determination is based on some unavoidable assumptions and estimates, and is therefore not precise. Bisection of small communities has raised concerns in terms of public perception, and also has the potential to affect the effectiveness of implementation of countermeasures."

To the north of the Faslane berths, the extent of the combined minimum radial distance of 1.5 km boundary bisects a small number of residential properties to the south of Garelochhead.

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These properties are approximately 0.5 km from the centre of the village and approximately 0.8 km from the main area of residential habitation.

To the south of the Faslane berths, on the eastern shore of Gare Loch, the boundary crosses open ground. On the western shore, the boundary bisects a very small community of residential properties.

To include the whole of Garelochhead would extend the area significantly, for which in my opinion would create a disproportionately large area. Any safety benefits would in my judgement be outweighed by the risks of implementing effective countermeasures to a larger population along with the potential compromise of the effectiveness of the emergency arrangements. Focus would also be diverted from providing protection measures to those groups most at risk. The main populous areas of Garelochhead are sufficiently far enough away that inclusion is not justified.

**Conclusion 7:** It is not considered appropriate to include the whole of Garelochhead to enable the avoidance of bisection of single dwellings and small communities.

### 6.4 INCLUSION OF IMMEDIATELY ADJACENT VULNERABLE GROUPS

The ONR TAG (reference 15) states that:

> “ONR recognises that groups of vulnerable people (e.g. care homes, schools, camping and caravan sites, itinerant populations, etc.) located close to the REPPIR off-site emergency planning area (formerly DEPZ) should be provided for in the same manner as those located within the zone.” (The definition of ‘vulnerable’ groups must be the definition adopted by the relevant local authority.)

When considering this factor, ONRs intent is to be consistent with other aspects of council arrangements for vulnerable groups, therefore, as a default, it will use the relevant local authorities’ definition of what constitutes a vulnerable group when considering the extent of the planning area. It should be noted that there is Cabinet Office guidance4 on this subject with a general definition of “vulnerability” to mean; “those that are less able to help themselves in the circumstances of the emergency” and include people with mobility difficulties, mental health issues, children/elderly, hearing and visual impaired.

I have also considered the inclusion of groups who would be at risk of greater exposure (so in a sense could be considered as “vulnerable”) to the effects of any radiation emergency – examples include pleasure craft on the water, residents of tents, caravans and beach huts, or sites where members of the public may have restricted access to information, shelter or egress.

There are two vulnerable groups within the combined 1.5 km boundary from the Faslane berths, a nursery and residential home. These are detailed in the Argyll and Bute off-site emergency plan.

For consideration of this practical and strategic factor it is necessary to consider those vulnerable groups beyond 1.5 km. How far beyond 1.5 km that should be considered is subjective as the term “immediately adjacent” (as defined in reference 13) does not have an additional distance associated with it. This is deliberate as what would be an appropriate distance for one nuclear site or operational berth may not be for another.

I am of the opinion that beyond a radial distance of 2.0 km from the Faslane berths (500 metres from the 1.5 km boundary) any vulnerable group should not be considered ‘immediately adjacent’ and inclusion of any group beyond this distance would only be in

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exceptional circumstances and only as the direct result of the application of more than one of the practical strategic factors.

It is important to consider that when looking to incorporate an adjacent vulnerable group, resulting in possible extension of the boundary, this could result in additional vulnerable groups becoming adjacent to the extended boundary, which in turn could lead to boundary creep resulting in a disproportionate area.

The Faslane peace camp is located within approximately 200 metres of the south east boundary. The peace camp consists of caravans and wooden built structures. The residents are transient in nature, with occupation numbers varying throughout the year. Due to the nature of the buildings not offering the same protection as a brick built dwelling, I consider that this group should be included in the emergency planning area.

In the summer months there can be large numbers of tourists in the surrounding area and the potential for sailing boats on the waters of Gare Loch which is an additional vulnerable group to consider. There are restricted water channels in Gare Loch, and restricted water areas around HMNB Clyde (Coulport) and HMNB Clyde (Faslane), as laid out in the Faslane, Coulport and Rhu Narrows Byelaw 1986, Statutory Instrument 1986 No. 234. The restrictions are activated by the presence of warning flags and lights. Additionally, the channels are controlled by the Ministry of Defence Police.

In the event of an off-site nuclear emergency, the QHM will be responsible for controlling shipping and other craft on the water, as stated in the Clyde off-site emergency plan (reference 30). Additional confirmation was obtained from QHM regarding the extent of their jurisdiction with regard to Gare Loch (reference 32). I consider that members of the public potentially on the water are adequately addressed in the current Clyde off-site emergency plan.

**Conclusion 8:** I have considered the nature of the vulnerable groups, their size and distance from the boundary, and I consider that the Faslane Peace Camp should be included in the determined area.

### 6.5 INTERNATIONAL GOOD PRACTICE

The ONR TAG (reference 15) states that:

> “ONR is of the view that its decisions should be informed by accepted international good practice.”

Relevant international good practice relating to nuclear emergency planning is contained in International Atomic Energy Agency (IAEA) publications GSR Part 7 and GS-G-2.1 (references 21 and 22). The guidance document (GS-G-2.1) is non-binding, and provides one of many potential benchmarks for comparison.

In these documents, the IAEA identifies categories of reactor power output and potential ‘threat’, and advocates the adoption of two types of emergency planning zones: a Precautionary Action Zone (PAZ) and an Urgent Protective Action Planning Zone (UPZ). However, due to differences in the UK legal framework, and the assessment of reasonably foreseeable radiation emergencies on a case-by-case basis, neither of these zones are directly comparable with ONR’s determination of a REPPIR off-site emergency planning area.

In the UK, the legal framework for local off-site emergency planning is set out in REPPiR and, although ONR’s principles broadly align with (and meet the spirit of IAEA guidance), the IAEA guidance specifically allows for an approach based on case-by-case assessment (as happens in the UK). In addition, there are a number of similarities, but also important differences, between the UK legislative and IAEA regimes, which are summarised as follows:
a) IAEA guidance document (GS-G-2.1) provides generic indicative radial distances around different categories of nuclear installations, but also states that ‘a different distance should be used when this is substantiated by a detailed safety analysis’. UK legislation (i.e. REPPiR) requires the off-site emergency planning area to be based on a robust site specific technical identification and evaluation of the hazards and risks presented by each individual site and, as such, these indicative generic distances are not applied in the UK (although they do provide a comparator, albeit of limited value).

b) IAEA guidance is based on consideration of extreme accidents, whereas the UK legal framework, as set out in REPPiR, requires detailed planning areas to be based on reasonably foreseeable events.

c) IAEA guidance is based on restricting severe deterministic doses (i.e. relatively high doses accrued over a shorter period), whereas REPPiR is based on restricting doses, so far as is reasonably practicable, to everyone who may be affected by a radiation emergency, where a radiation emergency is defined in the UK as an emergency with the potential for an accrued dose of 5 mSv or more in the year following the emergency, or other relatively low dose criteria. This is a far lower dose threshold in the range of stochastic (random or chance) effects only.

d) The ‘5 mSv in the year following the emergency’ criteria, relating to the definition of a ‘...reasonably foreseeable radiation emergency’ in UK legislation (REPPiR), is based on European EURATOM Basic Safety Standards (reference 19) and is broadly supported (of the same order of magnitude) by Public Health England (PHE) (reference 33), which recommends that significant countermeasures ‘...should be offset by a correspondingly significant level of anticipated dose averted (i.e. at least 10 mSv in the first year). Less disruptive or resource intensive measures could be considered for averting lower levels of dose.’

e) Both the IAEA guidance and ONR’s principles for determination of the REPPiR off-site emergency planning area (and related guidance) (references 21, 22 and 15) indicate that areas should take account of a range of factors (e.g. geographical factors and electoral boundaries etc.).

f) UK radiological emergency planning arrangements are complemented by arrangements available under the Civil Contingencies Act (2004) (reference 34), and the developing concept of extendibility (i.e. the concept of planning for emergencies beyond those that are reasonably foreseeable, with the possibility of outline planning to implement dose reduction measures beyond the REPPiR off-site emergency planning area where the likelihood of a more severe emergency is so remote). UK regulatory guidance states that off-site plans prepared under REPPiR should include a framework for such scalability.

6.6 CONSIDERATION OF BENEFITS AND DIS-BENEFITS OF DOSE REDUCTION MEASURES (INCLUDING COUNTERMEASURES)

The ONR TAG (reference 15) states that:

“Countermeasures can, in some circumstances, convey risks as well as benefits to the individuals to whom they may be applied. ONR considers that the REPPiR off-site emergency planning area (formerly DEPZ) should consider an appropriate balance between the benefits of dose aversion and the potential dis-benefits associated with implementing immediate countermeasures in a radiation emergency across too wide an area.”
ONR acknowledges that there are benefits and dis-benefits associated with an increase or decrease in the size of the REPPIR off-site emergency planning area. These were identified and considered as follows.

Noting that REPPIR requires that the off-site emergency planning area must, as a minimum, include all of the area around the sites within which a person (including members of the public) could receive an effective dose in excess of 5 mSv in the year following a reasonably foreseeable radiation emergency or other dose criteria defined in REPPIR Schedule 1, the considerations are:

- an area of the minimum size might be beneficial as emergency responders would be able to focus their efforts on delivering dose reduction measures (including countermeasures) in a concentrated manner across a smaller population and geographical area;
- a larger area (e.g. that, for instance, extended to avoid bisection of local communities or to include a vulnerable group) might be perceived as providing safety benefits to a larger population;
- however, a larger area would be judged to have the potential to compromise the effectiveness and timeliness of some of the emergency arrangements; and
- a larger area might be perceived as requiring the application of countermeasures across more people than may be necessary, with any risks that could be presented by such measures. This notwithstanding, REPPIR provides the local authority with the legal duty to determine in consultation with others, exactly what countermeasures and dose restriction measures should be planned for in a proportionate and targeted manner. REPPIR does not require that identical measures be applied to everyone within the REPPIR off-site emergency planning area, and allows the targeting of specific dose reduction measures to specific sub-populations within the area.

The area around the Faslane berths is sparsely populated with a small number of residential properties within the combined minimum radial distance of 1.5 km from the berths. The nearest centre of any significant population is approximately 2 km from the closest berth point at Garelochhead (population circa 1300), and at Rhu and Helensburgh (population circa 15500) approximately 5 to 6.5 km away. Argyll and Bute Council has demonstrated (reference 31) that the current Clyde off-site plan is adaptable and extendable for more severe events. Therefore in my opinion any extension of the area to encompass these areas of population would be disproportionate and unnecessarily.

### Conclusion 9

Taking into account the benefits and dis-benefits of the application of emergency dose reduction measures it is judged that extension of the REPPIR off-site emergency planning area to include nearby areas of population, including the whole of Garelochhead, would in my opinion be disproportionate and unnecessarily.

6.7 OTHER SITE SPECIFIC FACTORS OF WHICH ONR IS AWARE

The ONR TAG (reference 15) states that:

“ONR will also consider, in determining REPPIR off-site emergency planning areas (formerly DEPZs), any additional site-specific factors that it considers relevant on a case-by-case basis.”

In February 2017, the MOD announced the intention to establish the UK hub for Royal Navy’s submarine service at HMNB Clyde (reference 35). This would see all 11 Royal Navy submarines based at HMNB Clyde from 2020, with an increase in the number of people employed at the base rising from 6800 to 8200.
Argyll and Bute Council and HMNB Clyde confirmed to ONR (reference 36) that the MOD announcement does not affect the current determination.

ONR has not been advised by Argyll and Bute Council or HMNB Clyde of any additional site specific factors that it considers relevant to the determination of the REPPiR off-site emergency planning area at this time

**Conclusion 10:** There are no additional location specific factors at this time, of which ONR is aware that require consideration when determining the REPPiR off-site emergency planning area for the Faslane berths.

7 CONCLUSIONS

This report sets out the main considerations that ONR has given to determining revised REPPiR off-site emergency planning and prior information areas for the Faslane berths at the HMNB Clyde (Faslane) Authorised Site. It takes due account of the findings of the latest MOD RoA for the NRP, DNSR’s and ONR’s detailed assessment of it, and of ONR’s principles and guidance for undertaking such determinations.

The process of determination of a REPPiR off-site emergency planning area requires regulatory judgement to balance a broad range of technical, practical, and strategic factors (which may, of themselves, require that judgements, estimations, and assumptions be made).

In summary, the conclusions of this report are that:

- ONR is satisfied that the technical submissions made by MOD demonstrate that members of the public would not be likely (the legal test provided by REPPiR) to be exposed to effective doses in excess of 5 mSv (or other dose criteria defined in REPPiR Schedule 1), in the year following a reasonably foreseeable radiation emergency, beyond a radial distance of 1.5 km from the Faslane berths.

- There are nine specific berths at the HMNB Clyde (Faslane) Authorised Site, and these will be considered to form the basis of a single emergency planning area with the combined 1.5 km radius from each of the berths.

- The REPPiR off-site emergency planning area boundary should be defined on land, so far as is sensible, using physical infrastructure and geographical features, such as security boundaries, roads and rivers. For areas where there is no definable geographical feature to use as the boundary, a radial distance from the berth points will be used.

- At the water’s edge the REPPiR off-site emergency planning area boundary should be defined, so far as is sensible, using the Mean Low Water Mark. In the case of the northern boundary of Gare Loch, the loch wall and footpath provides for a clear definable boundary which will be used so to include any members of the public who may be between the footpath and water’s edge.

- The extent of the marine area should be described as radii from a defined point. In the case of the Faslane berths, the defined point will be the most southerly berth.

- A REPPiR off-site emergency planning area based on a minimum combined radial distance of 1.5 km from the Faslane berths without extending to Garelochhead and Rhu and Helensburgh will provide for a credible and effective plan to secure the protection of the public and restriction of exposures so far as is reasonably practicable, in the event of a reasonably foreseeable radiation emergency.
It is not considered appropriate to include the whole of Garelochhead to enable the avoidance of bisection of single dwellings and small communities.

I have considered the nature of the vulnerable groups, their size and distance from the boundary, and I consider that the Faslane Peace Camp should be included in the determined area.

Taking into account the benefits and dis-benefits of the application of emergency dose reduction measures it is judged that extension of the REPPPIR off-site emergency planning area to include nearby areas of population, including the whole of Garelochhead, would in my opinion be disproportionate and unnecessarily.

There are no additional location specific factors at this time, of which ONR is aware that require consideration when determining the REPPPIR off-site emergency planning area for the Faslane berths.

Consequently, for emergency planning purposes and in order to ensure appropriate conservatism as regards the protection of the public in the unlikely event of a reasonably foreseeable radiation emergency, the REPPPIR off-site emergency planning areas (and the areas within which prior information must be distributed in accordance with REPPPIR regulation 16(1)) are defined as:

The area determined by the red line on the map in annex B and described as:

*The off-site emergency planning area is generally a circular area of the combined 1.5 km radius from each of the nine Faslane berths over open land and in the marine environment. In the north it follows the Gare Loch boundary footpath. For residential areas, it follows defined physical features, such as roads and pathways and includes small areas of Garelochhead, Meikle Rahane and Shandon.*

8 **RECOMMENDATIONS**

As a result of the conclusions of this report, the recommendations are that ONR write to:

**Recommendation 1:** Argyll and Bute Council as the lead local authority to advise that the REPPPIR off-site emergency planning area has been determined as the area within the red line on map at annex B. This information should be copied to Naval Base Commander (Clyde) acting as the MOD Authorised Site duty holder.

**Recommendation 2:** Argyll and Bute Council as the lead local authority confirming the need to update, as required, its detailed emergency plan to adequately cover the areas defined in annex B.

**Recommendation 3:** Naval Base Commander (Clyde) acting as the MOD Authorised Site duty holder confirming the requirement to ensure the appropriate provision of prior information to the public within the area defined in annex B. This information should also be copied to Argyll and Bute Council as the lead local authority.

9 REFERENCES

1. The Energy Act 2013, Chapter 32.  
   Part 3, Chapter 3, ‘The ONR purposes’, paragraph 68(1).  
   Part 3, Chapter 4, ‘Function of the ONR’, paragraph 78, ‘Principal function’.  

2. Nuclear Installations Act 1965

3. Statutory Instruments 2001 No. 2975  

4. Statutory Instruments 2014 No. 469  
   The Energy Act 2013 (Office for Nuclear Regulation) (Consequential Amendments,  
   Transitional Provisions and Saving) Order 2014

5. General Agreement Between Ministry of Defence and Office for Nuclear Regulation for  
   Regulation of the Defence Nuclear Programme.  
   28th January 2015

6. Letter of Understanding between the Office for Nuclear Regulation and The Defence  
   Nuclear Safety Regulator setting out their intentions for coherent, complete and  

7. REPPiR – Technical Assessment of 2008 Submissions by Defence Operators  

8. Radiation (Emergency Preparedness and Public Information) 2001 (REPPiR)  
   Regulation 9(1) Off-site emergency plan  
   Operational submarine berth – HM Naval Base Clyde, Loch Goil, B4 Anchorage (Loch  
   Long).

9. Radiation (Emergency Preparedness and Public Information) 2001 (REPPiR (REPPiR)  
   2011 submission by defence operators

10. UK Operational Berth Safety Statements in Support of the MOD 2011 REPPiR  
    Submission.

11. Defence Operators 2014 REPPiR submission, DSM/Tech/REPPiR/14/01  
    31st January 2014.

12. HMNB Clyde – REPPiR 2017 Submission – Declaration of ‘No Change of  
    Circumstances’ EP/NERO/07/001  

    Declaration of ‘No Change of Circumstances’ SM&UW/CSO(E)SM/OB/UK OB/219  


    The technical assessment of REPPiR submissions and the determination of detailed  
    emergency planning zones. www.onr.org.uk/depz-onr-principles

16. Food and Environment Protection Act 1985

17. Koichi Tanigawa et al. Loss of life after evacuation: lessons learned from the  

18. ONR-DEF-AR-15-004  
    Technical Assessment of REPPiR Reports of Assessment and subsequent  
    Declarations of No Change for HMNB Clyde, HMNB Devonport and Operational Berths  
    Revision 1 12th May 2016.

20. ONR Safety Assessment Principles for Nuclear Facilities, 2014 Edition, Revision 0. AM.1 Accident management and emergency preparedness


24. ONR-COP-CR-16-150
ONR meeting contact report between ONR, Argyll and Bute Council, MOD Clyde Naval Base and Navy Command HQ. 27th July 2016.

25. ONR-COP-CR-16-224
ONR meeting contact report between ONR, Argyll and Bute Council, MOD Clyde Naval Base and Police Scotland. 4th October 2016.

26. E-mail correspondence with Queens Harbour Master Clyde reference over water boundary definitions.

27. HM Naval Base Clyde Nuclear Emergency Response Orders. Revision 5

28. E-mail correspondence with Argyll and Bute Council reference dispersal reception centres.


30. Argyll and Bute Council
HM Naval Base, Clyde – Off-site Emergency Plan - July 2013

31. E-mail correspondence with Argyll and Bute Council reference Clyde Extendibility Exercise.

32. E-mail from Queens Harbourmaster outlining the Clyde Dockyard Port area of jurisdiction. 30th October 2016.


34. Civil Contingencies Act 2004:
2004 Chapter 36. 18th November 2004
Her Majesty’s Stationery Office


36. ONR-COP-CR-16-357
ONR teleconference contact report between ONR, Argyll and Bute Council and MOD (HMNB Clyde and Navy Command). 2nd February 2017.
ANNEX A – RELEVANT INFORMATION AND SIGNIFICANT FEATURES

The Faslane berths are situated within the HM Naval Base Clyde (Faslane) Site which is an Authorised site residing on the shore of Gare Loch, Scotland.

The area encompassed by the combined 1.5 km radial distance from the Faslane berths includes the whole of the HM Naval Base Clyde (Faslane) site and surrounding farm land. The area extends across Gare Loch and covers an area of sparsely populated and forest area on the western banks of the loch.

Local population, facilities and features surrounding the Faslane berths are detailed in the following tables.

Table 1 – Populations within 1.5 km of the Faslane berths

<table>
<thead>
<tr>
<th>Within 1.5 km of the combined Faslane berths</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>HSL supplied population data for the REPPiR emergency planning area of the Faslane berths. All figures are approximate values.</td>
<td></td>
</tr>
<tr>
<td>Residential Population - night time</td>
<td>283</td>
</tr>
<tr>
<td>Residential population – day time, term time</td>
<td>77</td>
</tr>
<tr>
<td>Residential population – day time, non-term time</td>
<td>92</td>
</tr>
<tr>
<td>Child care population (number of locations)</td>
<td>56 (1)</td>
</tr>
<tr>
<td>Care home population (number of locations)</td>
<td>6 (1)</td>
</tr>
<tr>
<td>Approximately 40 six storey on-site residential blocks.</td>
<td>*</td>
</tr>
</tbody>
</table>

* Actual population figures withheld due to sensitivity of information

Table 2 - Populations within the area shown in annex B around the Faslane berths

<table>
<thead>
<tr>
<th>Within the ONR determined area around Faslane berths</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>HSL supplied population data for the REPPiR emergency planning area of the Faslane berths. All figures are approximate values.</td>
<td></td>
</tr>
<tr>
<td>Residential Population - night time</td>
<td>383</td>
</tr>
<tr>
<td>Residential population – day time, term time</td>
<td>117</td>
</tr>
<tr>
<td>Residential population – day time, non-term time</td>
<td>139</td>
</tr>
<tr>
<td>Child care population (number of locations)</td>
<td>56 (1)</td>
</tr>
<tr>
<td>Care home population (number of locations)</td>
<td>6 (1)</td>
</tr>
<tr>
<td>Faslane Peace Camp</td>
<td>Variable</td>
</tr>
<tr>
<td>Approximately 40 six storey on-site residential blocks.</td>
<td>**</td>
</tr>
</tbody>
</table>

* Information from the internet. The camp is permanently occupied and number can vary greatly

** Actual population figures withheld due to sensitivity of information
Table 3 – Information of other features or facilities of interest in the area of the Faslane berths

<table>
<thead>
<tr>
<th>Population / Town / Feature / Facility of Interest</th>
<th>Distance from closeted berth point (approx.)</th>
<th>Information*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Garelochhead</td>
<td>1.3 to 3.0 km</td>
<td>Approximate population of 1300</td>
</tr>
<tr>
<td>Garelochhead Train Station</td>
<td>1.6 km</td>
<td>Approximately 20 passenger movements per day.</td>
</tr>
<tr>
<td>Shannon &amp; Rhu</td>
<td>2.0 to 5.0 km</td>
<td>Approximate combined population of 1900</td>
</tr>
<tr>
<td>Garelochhead primary and nursery school</td>
<td>2.0 km</td>
<td>Up to 82 pupils and up to 20 nursery children.</td>
</tr>
<tr>
<td>Helensburgh</td>
<td>5.0 to 6.5 km</td>
<td>Approximate population of 14600</td>
</tr>
<tr>
<td>Clynder</td>
<td>4.4 km</td>
<td>Approximate population of 667</td>
</tr>
<tr>
<td>Rosneath</td>
<td>5.6 km</td>
<td>Approximate population of 900</td>
</tr>
<tr>
<td>Water works complex</td>
<td>1.6 km</td>
<td>Water works facility comprising three buildings.</td>
</tr>
<tr>
<td>HM Naval Base Clyde - Royal Naval Armaments Depot</td>
<td>3.2 km</td>
<td>Explosives Handling Jetty Authorised site.</td>
</tr>
</tbody>
</table>

* Population Information obtained from the internet using census data.
ANNEX B - MAP

HMNB Clyde (Faslane) Berths Area

The area defined by this map is described as:

The off-site emergency planning area is generally a circular area of the combined 1.5 km radius from each of the nine Faslane berths over open land and in the marine environment. In the north it follows the Gare Loch boundary footpath. For residential areas, it follows defined physical features, such as roads and pathways and includes small areas of Garelochhead, Meikle Rahane and Shandon.