



OFFICIAL

ONR GUIDE			
ADJACENT OR ENCLAVE NUCLEAR PREMISES			
Document Type:	Nuclear Security Technical Assessment Guide		
Unique Document ID and Revision No:	CNS-TAST-GD-6.5 Revision 0		
Date Issued:	March 2017	Review Date:	March 2020
Approved by:	David Pascoe	Professional Lead	
Record Reference:	TRIM Folder 4.4.2.19076. (2017/106084)		
Revision commentary:	New document issued		

TABLE OF CONTENTS

1. INTRODUCTION	2
2. PURPOSE AND SCOPE	2
3. RELATIONSHIP TO RELEVANT LEGISLATION	2
4. RELATIONSHIP TO IAEA DOCUMENTATION AND GUIDANCE	2
5. RELATIONSHIP TO NATIONAL POLICY DOCUMENTS	3
6. ADVICE TO INSPECTORS	3
7. ADJACENT AND ENCLAVE SITES	4
8. REFERENCES	6
9. GLOSSARY AND ABBREVIATIONS	7

OFFICIAL

OFFICIAL**1. INTRODUCTION**

- 1.1 The Office for Nuclear Regulation (ONR) has established a set of Security Assessment Principles (SyAPs) (Reference 7). This document contains Fundamental Security Principles (FSyPs) that dutyholders must demonstrate have been fully taken into account in developing their security arrangements to meet relevant legal obligations. The security regime for meeting these principles is described in security plans prepared by the dutyholders, which are approved by ONR under the Nuclear Industries Security Regulations (NISR) 2003 (Reference 1).
- 1.2 The term 'security plan' is used to cover all dutyholder submissions such as nuclear site security plans, temporary security plans and transport security statements. NISR Regulation 22 dutyholders may also use the SyAPs as the basis for Cyber Security and Information Assurance documentation that helps them demonstrate ongoing legal compliance for the protection of Sensitive Nuclear Information (SNI). The SyAPs are supported by a suite of guides to assist ONR inspectors in their assessment and inspection work, and in making regulatory judgements and decisions. This Technical Assessment Guidance (TAG) is such a guide.

2. PURPOSE AND SCOPE

- 2.1 This TAG contains guidance to advise and inform ONR inspectors in the exercise of their regulatory judgement during intervention activities relating to assessment of a dutyholder's processes for ensuring cooperation with any adjacent or enclave nuclear premises. It aims to provide general advice and guidance to ONR inspectors on how this aspect of a site's security regime should be assessed. It does not set out how ONR regulates the dutyholder's arrangements. It does not prescribe the detail or methodologies for dutyholders to follow to demonstrate they have addressed the SyAPs. It is the dutyholders responsibility to determine and describe this detail within their submission and for ONR to assess whether the arrangements are adequate.

3. RELATIONSHIP TO RELEVANT LEGISLATION

- 3.1 The term 'dutyholder' mentioned throughout this guide is used to define 'responsible persons' on civil nuclear licensed sites and other nuclear premises subject to security regulation, a 'developer' carrying out work on a nuclear construction site and approved carriers, as defined in NISR. It is also used to refer to those holding SNI.
- 3.2 NISR defines a 'nuclear premises' and requires 'the responsible person' as defined to have an approved security plan in accordance with Regulation 4. It further defines approved carriers and requires them to have an approved Transport Security Statement in accordance with Regulation 16. Persons to whom Regulation 22 applies are required to protect SNI. ONR considers Physical Protection Systems (PPS) to be an important component of a dutyholder's arrangements in demonstrating compliance with relevant legislation.

4. RELATIONSHIP TO IAEA DOCUMENTATION AND GUIDANCE

- 4.1 The essential elements of a national nuclear security regime are set out in the Convention on the Physical Protection of Nuclear Material (CPPNM) (Reference 4) and the IAEA Nuclear Security Fundamentals (Reference 3). These elements are emphasised in Recommendations level guidance, specifically Nuclear Security Series (NSS) 13, Recommendations on Physical Protection of Nuclear Material and Nuclear

OFFICIAL

OFFICIAL

Facilities (INFCIRC/225/Revision 5) (Reference 2) and NSS No 14, Nuclear Security Recommendations on Radioactive Material and Associated Facilities (Reference 8). Further guidance is available within IAEA Technical Guidance and Implementing Guides.

5. RELATIONSHIP TO NATIONAL POLICY DOCUMENTS

- 5.1 The SyAPs provide ONR inspectors with a framework for making consistent regulatory judgements on the effectiveness of a dutyholder's security arrangements. This TAG provides guidance to ONR inspectors when assessing a dutyholder's submission demonstrating they have effective processes in place to achieve SyDP 6.5 – Adjacent or Enclave Nuclear Premises, in support of FSyP 6 – Physical Protection Systems. The TAG is consistent with other TAGs and associated guidance and policy documentation.
- 5.2 The HMG Security Policy Framework (SPF) (Reference 5) describes the Cabinet Secretary's expectations of how HMG organisations and third parties handling HMG information and other assets will apply protective security to ensure HMG can function effectively, efficiently and securely. The security outcomes and requirements detailed in the SPF have been incorporated within the SyAPs. This ensures that dutyholders are presented with a coherent set of expectations for the protection of nuclear premises, SNI and the employment of appropriate personnel security controls both on and off nuclear premises.
- 5.3 The Classification Policy (Reference 6) indicates those categories of SNI, which require protection and the level of security classification to be applied.

6. ADVICE TO INSPECTORS

- 6.1 The UK nuclear industry is highly diverse. Separate dutyholders might share a single licenced site but have different security outcomes required for their individual PPS. They will operate under separate and distinct security plans which might be based on common or shared services. For example, they may share access control arrangements and search protocols that are provided by a third party contractor. Such arrangements are common on sites where tenant organisations are located.
- 6.2 Additionally, many sites have an adjacent site operating under a separate licence. They too will operate under separate and distinct security plans, which might have common or shared services, but with different security outcomes required from their PPS. This is the case for several Magnox and AGR sites across the country, where the Magnox station may be defueled, but the adjacent AGR site is still generating and must deliver more stringent security outcomes. This issue will potentially be more complicated and require greater consideration for adjacent new build sites where the security outcomes required of the PPS will increase in line with the sensitivity of the site as construction progresses. However, in all cases, the level of ONR scrutiny is dependent on the nuclear security significance of the nuclear facility concerned.

Regulatory Expectation

- 6.3 The regulatory expectation upon the dutyholder is that they will demonstrate within their security plan how they ensure sharing of information and maintenance of a coherent, coordinated approach towards all aspects of security (and emergency response) that may be influenced by adjacent or enclave nuclear premises.

OFFICIAL

OFFICIAL

FSyP 6 - Physical Protection Systems	Adjacent or Enclave Nuclear Premises	SyDP 6.5
Dutyholders should give mutual consideration to the effects of adjacent or enclave nuclear premises on the maintenance of nuclear security.		

7. ADJACENT AND ENCLAVE SITES

- 7.1 Inspection and assessment by ONR inspectors should focus on ensuring that individual dutyholders take responsibility for ensuring all the elements of their security regime, whether they are provided by a contractor or an adjacent or enclave site, integrate effectively. This will be reflected in the security plans in order to ensure there are clear lines of accountability and the required effects of the physical protection system are met for all relevant dutyholders.
- 7.2 Dutyholder security plans should clearly articulate the shared services provided by or for the benefit of the adjacent or enclave site and the impact that one may have on the other. This includes or shared contingency/emergency arrangements.
- 7.3 Emergency response arrangements for a dutyholder site that has adjacent or enclave premises must take into account the risks and hazards presented by all dutyholders and deliver an appropriate means of emergency communications across them to ensure the planned response is effective. Regular dialogue and joint training and exercising amongst dutyholders are required to ensure a consistent and coherent emergency response.
- 7.4 Inspection and assessment by ONR inspectors should seek to identify that clear lines of communications between dutyholders exist, and there are identified and established points of contact for all matters pertaining to security and emergency response. It is considered good practice for dutyholders to have regular formal meetings with agreed terms of reference to consider and review security and emergency response protocols amongst adjacent or enclave sites.
- 7.5 Where the adjacent or enclave nuclear premises is undergoing development, the dutyholder must be fully aware of project timelines and take into account the changing risks and hazards as an adjacent construction project develops. This is equally true for adjacent sites undergoing decommissioning, where certain security systems may no longer be supported once nuclear inventory is removed and the categorisation for theft and sabotage reduced. In these instances, it is particularly important for dutyholders to ensure there is continuous liaison with the adjacent operating site's security staff to inform them of any changes in risk or to the security arrangements in place.
- 7.6 Periodic review of security plans by dutyholders should ensure there is no potential for conflict or adverse impact on adjacent or enclave security plans and also that the required effects of each dutyholder's PPS continue to be met.

OFFICIAL

OFFICIAL

Inspectors should consider:

- Is a coherent, integrated and coordinated approach taken to all aspects of security that may be affected or influenced by any adjacent or enclave nuclear premises?
- Does the security plan identify all shared services and responsibilities for their delivery?
- Do the emergency arrangements take into account the risks and hazards presented by all adjacent and enclave nuclear premises?
- Is there regular dialogue, joint training and exercising to ensure a coherent emergency response between adjacent and enclave nuclear premises?
- Is there adequate liaison to ensure a shared understanding of current security plans and any planned changes to site categorisation or security arrangements?

OFFICIAL

OFFICIAL

8. REFERENCES

1. **Nuclear Industries Security Regulations 2003.** Statutory Instrument 2003 No. 403
2. **IAEA Nuclear Security Series No. 13.** Nuclear Security Recommendations on Physical Protection of Nuclear Material and Nuclear Facilities (**INFCIRC/225/Revision 5**). January 2011. www-pub.iaea.org/MTCD/Publications/PDF/Pub1481_web.pdf.
3. **IAEA Nuclear Security Series No. 20.** Objective and Essential Elements of a State's Nuclear Security Regime. http://www-pub.iaea.org/MTCD/Publications/PDF/Pub1590_web.pdf
4. **Convention on the Physical Protection of Nuclear Material (CPPNM)**
<https://ola.iaea.org/ola/treaties/documents/FullText.pdf>
5. **HMG Security Policy Framework.** Cabinet Office.
https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/316182/Security_Policy_Framework_-_web_-_April_2014.pdf
6. **NISR 2003 Classification Policy** – Trim Ref. 2012/243357.
7. **Security Assessment Principles** – Trim Ref. 2017/121036
8. **IAEA Nuclear Security Series No. 14.** Nuclear Security Recommendations on Radioactive Material and Associated Facilities. <http://www-pub.iaea.org/books/IAEABooks/8616/Nuclear-Security-Recommendations-on-Radioactive-Material-and-Associated-Facilities>

Note: ONR staff should access the above internal ONR references via the How2 Business Management System.

OFFICIAL

OFFICIAL

9. GLOSSARY AND ABBREVIATIONS

AGR	Advanced Gas-Cooled Reactor
CPPNM	Convention on the Physical Protection of Nuclear Material
FSyP	Fundamental Security Principle
IAEA	International Atomic Energy Agency
NISR	Nuclear Industries Security Regulations
NSS	Nuclear Security Series
ONR	Office for Nuclear Regulation
PPS	Physical Protection System
SNI	Sensitive Nuclear Information
SPF	Security Policy Framework
SyAP	Security Assessment Principle
SyDP	Security Delivery Principle
TAG	Technical Assessment Guide

OFFICIAL